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jc583 U.S. PTO

## PATENT APPLICATION

Docket No.: 98-639

Date: October 4, 1999

Assistant Commissioner for Patents  
Washington, D.C. 20231  
ATTN: BOX PATENT APPLICATION



jc583 U.S. PTO

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

Inventor(s): KENT A. KOSHKARIAN; SEUNG K. LEE; and MICHAEL J. READEY

For (title): RARE EARTH SILICATE COATING ON A SILICON-BASED CERAMIC  
COMPONENT BY CONTROLLED OXIDATION FOR IMPROVED CORROSION  
RESISTANCE

Enclosed are:

- ☒ 2 sheet(s) of drawings. (FORMAL)
- ☒ An Assignment of the invention to Caterpillar Inc.
- ☐ Declaration not enclosed.
- ☒ Material information pursuant to 37 CFR § 1.56.

The filing fee has been calculated as shown below:

CLAIMS AS FILED

FOR	NUMBER FILED	NUMBER EXTRA	RATE	FEE
TOTAL CLAIMS	14 - 20 =	0	x \$18	0.00
INDEPENDENT CLAIMS	3 - 3 =	0	x \$78	0.00
			BASIC FEE	\$760.00
			FILING FEE	\$760.00

- ☒ The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the pendency of the application to Deposit Account No. 03-1129. Two copies of this sheet are enclosed.
- ☐ It is expressly requested that the U.S. Patent and Trademark Office commence national processing of the above-entitled international application under the provisions of PCT Article 23(2) and 35 USC 371(f).

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The PTO and not receive the following  
listed item(s) Page 15 of the  
Specification

"Express mail" mailing label number EJ696626223US

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I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Nancee J. Stamm (Reg. No. (N/A))

(Name of person mailing application)

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DESCRIPTION

5        RARE EARTH SILICATE COATING ON A SILICON-BASED CERAMIC  
         COMPONENT BY CONTROLLED OXIDATION FOR IMPROVED  
         CORROSION RESISTANCE

TECHNICAL FIELD

10            The present invention relates generally to a  
ceramic component coated with a corrosion inhibiting  
material and more particularly, to a process for  
making a silicon-based ceramic component with a rare  
earth coating.

15        BACKGROUND ART

             In the operation of gas and diesel engines  
that are adapted for utilizing alternative fuels, for  
example, methanol, ethanol, natural gas, the use of  
ceramic components, such as glow plugs, turbochargers,  
20        and turbine blades, are well known. It is well known  
that such engine components have a less than desirable  
service life owing to the harsh environment in the  
engine due to elevated temperatures.

             Particularly, in diesel engines, it is also  
25        well known that a glow plug is used to beneficially  
assist the ignition of the non-autoignitable fuel  
during start-up as well as during operation. Such  
glow plugs also have a less than desirable service  
life owing to the harsh environment in the combustion  
30        chamber due to elevated temperatures.

Where the heating portion of a glow plug is formed of a silicon-based ceramic, and more particularly, silicon nitride ( $\text{Si}_3\text{N}_4$ ), the service life of the heating portion of the glow plug is further  
5 reduced due to thermal stresses, oxidation and corrosion. The operating longevity of a silicon-based ceramic glow plug is further compromised when it is utilized in a diesel engine that is burning fuel other than diesel fuel.

10 When a silicon-based ceramic glow plug is utilized to assist in the ignition of non-autoignitable fuels at the elevated temperatures needed to sustain fuel combustion, the silicon-based ceramic undergoes severe corrosion and erosion due in  
15 part to the presence of impurities such as sodium, calcium, magnesium and sulfur introduced by the fuel and the lubrication oil. At high temperatures, these impurities react with the normally stable silica ( $\text{SiO}_2$ ) film layer on the silicon-based ceramic surface to  
20 form compounds, such as sodium sulfate ( $\text{Na}_2\text{SO}_4$ ), having a lower melting temperature than silicon-based ceramic, which are progressively eroded away by fuel and air spray.

Coatings are utilized to increase the  
25 corrosion and erosion resistance on engine components utilizing alternative fuels. Deposition of coatings on these engine components, such as glow plugs, are well know in the art and are of various constructions with a multiplicity of different materials. The prior  
30 art processes employed either a physical vapor

deposition (PVD), a chemical vapor deposition (CVD), or plasma spray process.

These, heretofore, utilized processes had many inherent deficiencies. Among the many  
5 deficiencies, in particular, they were expensive and required several steps to form an adherent, uniform coating. An example of such a coating on a glow plug, formed by a deposition process, is found in U.S. Pat. No. 5,578,349, filed November 30, 1995, and issued to  
10 Kent A. Koshkarian et al. on November 26, 1996 and assigned to Caterpillar Inc.

It is desirable to provide the surface of a component with a protective coating that is not attacked by the impurities in the combustion  
15 environment and, thus, inhibits the corrosion and/or erosion mechanism. It is also desirable that the protective coating have very good adhesion to the component surface. It is further desirable that the protective coating have uniform continuity across the  
20 surface of the component to provide uniform corrosion and erosion protection. Finally, it is desirable to utilize a simple, low cost process to form a ceramic component with a protective coating.

The present invention is directed to  
25 overcome one or more of the problems as set forth above.

#### DISCLOSURE OF THE INVENTION

This invention applies to a silicon-based  
30 component in a corrosive environment. The silicon-

based component has a rare earth silicate coating in the range of about 1.0 microns and 5.0 microns.

In another aspect of the invention, a process is provided for a silicon-based ceramic component, preferably a silicon nitride component, with a corrosion inhibiting coating material. The component is oxidized by heating the component at a temperature greater than 1250 degrees C. The rare earth oxide, which oxidizes over time at high temperature, is originally contained in the silicon-based ceramic component.

Upon heating, the rare earth oxide migrates to the surface of the ceramic component during the oxidation reaction and further reacts with the silica ( $\text{SiO}_2$ ) film layer on the silicon-based ceramic component. The rare earth oxide in the rare earth oxide-doped ceramic component and the silica film layer form a rare earth silicate. Thus, the rare earth silicate coating layer is self-formed from the reaction.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 is a diagrammatic view of a partial section of a coated glow plug of this invention; and  
FIG. 2 is a cross-sectional view of a coated article in accordance with the present invention.

#### BEST MODE FOR CARRYING OUT THE INVENTION

By the use herein of the term "uniform" to qualify the coating, it is meant that the thickness of

the coating is essentially constant over the entire coated glow plug portion.

By the use herein of the term "continuous" to qualify the coating, it is meant that the coating covers the entire surface of the ceramic engine component without any voids, thereby effectively sealing the engine component against corrosion/erosion. This self-forming coating process can be applicable to other silicon-based ceramics such as silicon carbide ( $\text{SiC}$ ), molybdenum disilicide ( $\text{MoSi}_2$ ), and the like.

By the use herein of the term "rare earth silicates," it is meant coatings to include ytterbium silicate, lanthanum silicate, yttrium silicate, and the like.

By the use herein of the term "engine component" it is meant any part of an internal combustion engine, including but not necessarily limited to the combustion, fuel delivery, power transfer, cooling, lubrication, and turbocharging functions.

By the use herein of the term "alternative fuels", it is meant fuels other than diesel fuel, for example, methanol, ethanol, natural gas, and mixtures thereof.

Referring to FIGS. 1 and 2, which depict the preferred embodiment, a glow plug 2, as is well known in the art, has a heating element 4 and a silicon-based ceramic tip 6 having an outer surface 8. These well known glow plugs 2 have controls (not shown) for heating the heating element 4, which heat passes

outwardly to and through the glow plug tip 6 and into contact with fuel passing into the combustion chamber. During engine operation, the controlling element monitors the temperature of a portion of the glow plug  
5 2 and maintains the temperature within a preselected temperature range.

In the glow plug 2 of this invention, a low porosity refractory rare earth silicate coating 10, preferably ytterbium silicate ( $\text{Yb}_2\text{Si}_2\text{O}_7$ ), covers at  
10 least a portion, preferably all, of the silicon-based ceramic tip outer surface 8.

Preferably, the coating 10 has a thickness in the range of about 1.0 and 5.0 microns. Thickness less than about 1.0 micron is undesirable because the  
15 coating may not be sufficiently dense to seal the silicon-based ceramic tip from the combustion environment, and thickness greater than about 5.0 microns is undesirable because such coatings would have high thermal stresses and act as a thermal  
20 barrier to the heat flowing from the heating element and thereby represent a waste of time, labor, equipment, and natural resources since further thickness of the coating 10 provides no beneficial advantage. The coating 10 can be made on the glow  
25 plug tip 6 by the oxidation process described herein.

In the preferred process of this invention, a ceramic glow plug is made having a ytterbium silicate ( $\text{Yb}_2\text{Si}_2\text{O}_7$ ) coating to protect the glow plug from the detrimental effects of corrosion and/or  
30 erosion resulting from the contact of the glow plug portion with the pressure, temperature and corrosive

gases and liquids emitted when utilizing the glow plug in a diesel engine using alternative fuels.

Particularly, a silicon-based ceramic, preferably silicon nitride( $\text{Si}_3\text{N}_4$ ), glow plug portion  
5 undergoes severe corrosion and erosion due in part to the presence of impurities such as sodium, calcium, magnesium and sulfur introduced by the fuel and the lubrication oil. In the preferred embodiment of this invention, the deposition of a uniformly continuous  
10 and adherent coating of ytterbium silicate over the silicon nitride surface prevents these impurities from reacting with the silicon nitride surface.

The uniformly dense, continuous rare earth silicate coating, as formed by the process of this  
15 invention, prevents these impurities from forming compounds such as sodium sulfate( $\text{Na}_2\text{SO}_4$ ), magnesium sulfate( $\text{MgSO}_4$ ), and the like, which have a lower melting temperature than silicon-based ceramic and which are progressively eroded away by fuel and air  
20 spray, in the absence of such a coating.

The coating process consists of oxidizing a silicon-based ceramic, preferably silicon nitride, glow plug at high temperatures (above 1250 degrees C). The rare earth silicate coating layer is self-formed  
25 on the surface of the glow plug by the oxidation process associated with reaction between silica and the rare earth oxide.

The source of silica is an oxidation product from the silicon-based ceramic, and the source of rare  
30 earth oxide is the second element already existing in the silicon-based ceramic glow plug. The rare earth



oxide is introduced purposely during the formation of the silicon-based ceramic to assist the densification of silicon-based ceramic in the silicon-based ceramic fabrication process.

5           In the procedural steps of the preferred embodiment of the process of this invention, the glow plug was first formed from an ytterbium-doped silicon nitride material supplied by Kyocera Inc. As previously stated, the ytterbium was doped to assist  
10 in the densification of silicon nitride in the silicon nitride fabrication process. Thus, the ytterbium is utilized by Kyocera Inc. as a densification aid, i.e. a sintering aid.

          The preselected glow plug portion desired to  
15 be coated with a corrosion inhibiting material is first cleaned. This cleaning can be accomplished by various methods and materials. Preferably, the glow plug portion is impacted with abrasive grit such as alumina, for a period of time sufficient for removing  
20 oxidized particles, dirt and other foreign material from the glow plug portion and thereafter contacting the glow plug with a solvent. The glow plugs are grit-blasted with alumina particles prior to any oxidation reaction to eliminate the preexisting  
25 surface silica. Such process is well known in the art.

          After cleaning, the glow plug portion is securedly placed in a fixture where the power source is then adjusted to deliver a current desirably in the  
30 range of about 3.5 amps to about 4.5 amps, and preferably, at about 4.0 amps. The power source is

also adjusted to deliver voltage within the range of about 15 volts to about 17 volts. Current less than about 3.5 amps and voltage less than about 15 volts is undesirable because it would result in an insufficient surface temperature of the glow plug.

In the preferred embodiment, the temperature is in the range of about 1400-1500, more preferably, about 1450 degrees C. Current greater than about 5.0 amps and voltage greater than about 17 volts would be undesirable because there would be occurrence of damage of the heating element in the glow plug.

The power source is then energized to resultingly produce a temperature in the range of about 1400-1500 degrees C, more preferably, about 1450 degrees C. The power source is needed to provide heat and thus, the desired temperature, to drive the oxidation reaction. Such process is well known in the art.

The time needed to energize the glow plug to its optimum level is in the range of about 6-12 hours, more preferably, about twelve hours.

Alternatively, the desired temperatures on the surface of the glow plug may be achieved by an external heat source. This is done by placing the silicon nitride portion of the glow plug into a temperature controllable furnace capable of generating high temperatures and raising the temperature in the range of about 1400-1500 degrees C. The time needed is in the range of about 6-12 hours, more preferably, about twelve hours.

This is the technique that would be utilized to form the ytterbium silicate coating on components other than a glow plug such as turbine blades, turbocharger rotors, and the like.

5 In the preferred embodiment, the oxidation reaction, which produces silica, and the reaction between silica and ytterbium occur simultaneously. After oxidation, the ytterbium migrates to the surface of the silicon nitride and reacts with newly formed  
10 silica and produces ytterbium silicate. The ytterbium-silicate product is very dense and uniform. It acts as the coating on the glow plug.

#### EXAMPLE 1

Glow plugs were grit-blasted with alumina  
15 particles prior to any oxidation reaction to eliminate the preexisting surface silica. After cleaning, the glow plug portion was securedly placed in a fixture. Then, the glow plug was energized to reach a temperature of 1450 degrees C for 12 hours for the  
20 oxidation reaction process, which produced silica. The reaction between the silica and the ytterbium originally contained within the silicon nitride occur simultaneously.

The ytterbium in the silicon nitride  
25 migrated to the surface of the silicon nitride portion and reacted with the newly formed silica to produce the ytterbium-silicate coating layer on the silicon nitride glow plug. The ytterbium-silicate product had a thickness of 5 microns and was very dense and  
30 uniform. The ytterbium-silicate product acts as the

corrosion and erosion resistant coating on the glow plug.

#### INDUSTRIAL APPLICABILITY

5           The rare earth silicate coating of the present invention desirably seals a component from the high temperature, corrosive environment in which it exists. In an engine environment, the engine component is sealed from the detrimental environment  
10 generated by the use of alternative fuels.

          The process embodied in the invention results in very good adhesion of the rare earth silicate coating to the surface of the silicon-based ceramic component. The process embodied in the  
15 invention also results in good continuity of the rare earth silicate across the surface of silicon-based component, resulting in uniform corrosion inhibiting characteristics.

CLAIMS

We claim:

1. A component comprising:  
5 a silicon-based component, said silicon-based component being subject to a corrosive environment;  
said silicon-based component having a rare earth silicate coating thereon; and  
10 said coating having a pre-established thickness, said pre-established thickness being in the range of about 1.0 microns and 5.0 microns.
2. A component as in claim 1, wherein said  
15 silicon-based component is silicon nitride.
3. A component as in claim 1, wherein said silicon-based component is silicon carbide.
- 20 4. A component as in claim 1, wherein said silicon-based component is molybdenum disilicide.
5. A component as in claim 1, wherein said rare earth silicate coating is ytterbium silicate.  
25
6. A component as in claim 1, wherein said rare earth silicate coating is lanthanum silicate.
7. A component as in claim 1, wherein said  
30 rare earth silicate coating is yttrium silicate.

8. A component as in claim 1, wherein said pre-established thickness being in the range of about 4.0 and 5.0 microns.

5 9. A component as in claim 1, wherein said silicon-based component is an engine component.

10 10. A component as in claim 1, wherein said silicon-based component is a glow plug.

11. A component as in claim 1, wherein said silicon-based engine component is a turbocharger.

15 12. A component as in claim 1, wherein said silicon-based engine component is a turbine blade.

13. A process for coating a silicon-based component, comprising:

20 forming a silicon-based component, wherein said silicon-based component is a rare earth-doped ceramic;

25 increasing the temperature of said silicon-based component to about above 1100 degrees C for a time in the range of about six hours to about twelve hours to oxidize said silicon-based engine component;

forming a silica layer;

30 reacting said rare earth-doped silicon-based ceramic of said silicon-based component by creating a reaction with the silica layer of said silicon-based component;

forming a rare earth silicate coating on  
said silicon-based component.

14. A silicon-based glow plug having a  
5 heating element and having a tip, said tip having an  
outer surface, comprising:

said silicon-based glow plug having a rare  
earth silicate coating on said outer surface; and

said coating having a pre-established  
10 thickness, said pre-established thickness being in the  
range of about 1.0 microns and 5.0 microns.

ABSTRACT

A low cost process for self-forming a uniformly adherent protective rare earth silicate  
5 coating on a silicon-based ceramic component for protecting the component against corrosive/erosive environments. The coating is self-formed by an oxidation process of a silicon-based ceramic associated with a reaction between a silica ( $\text{SiO}_2$ ) film  
10 layer on the surface of silicon-based ceramic and the rare earth oxide existing inside of silicon-based ceramic component.



# FIG. 1

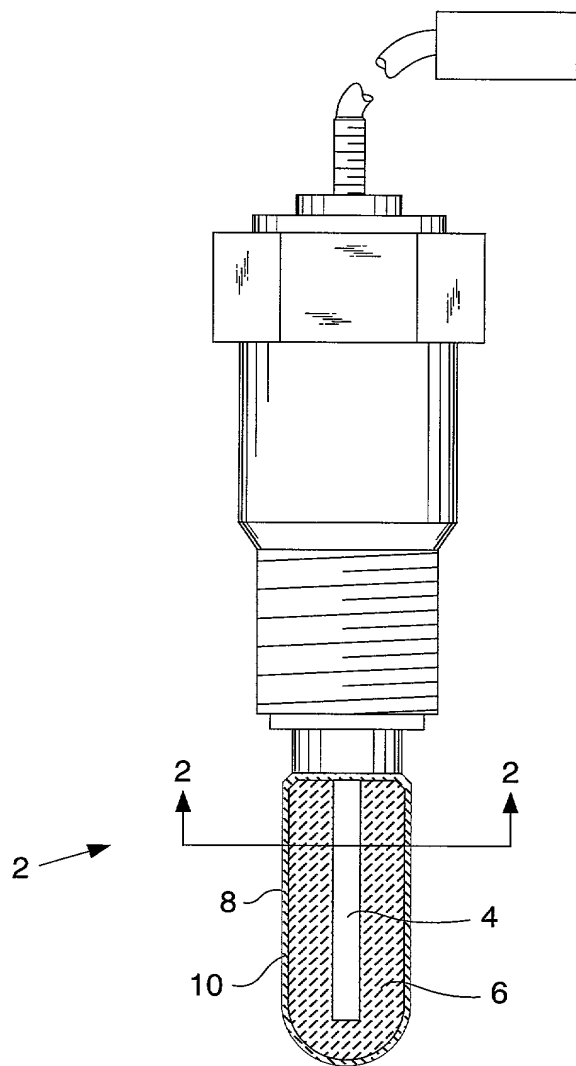
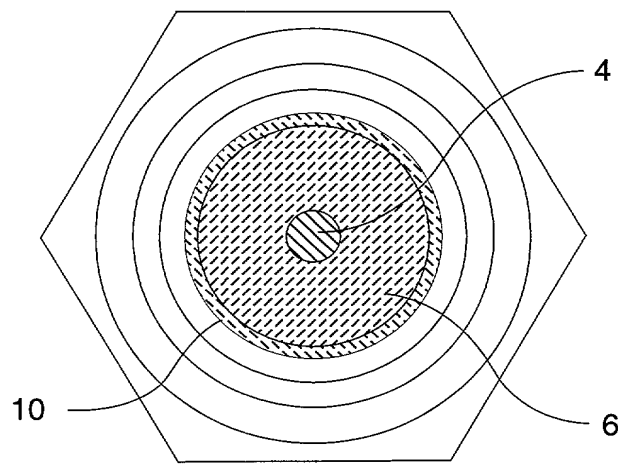


Fig. 2.



DECLARATION AND POWER OF ATTORNEY

I, KENT A. KOSHKARIAN, declare that I am a citizen of the United States of America; and I, SEUNG K. LEE, declare that I am a citizen of Republic of Korea; and I, MICHAEL J. READEY, declare that I am a citizen of the United States of America; and that we reside respectively at Peoria, Illinois; Peoria, Illinois; and Dunlap, Illinois, and that we believe we are the original, first, and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled:

RARE EARTH SILICATE COATING ON A SILICON-BASED CERAMIC COMPONENT BY CONTROLLED OXIDATION FOR IMPROVED CORROSION RESISTANCE

the specification of which is attached hereto.

We hereby state that we have reviewed and understand the contents of the above identified specification, including the claims.

We acknowledge the duty to disclose to the Patent and Trademark Office all information known to be material to patentability as defined in §1.56. We further declare that no application for patent or inventor's certificate on this invention has been filed in any country foreign to the United States of America prior to this application by us or our legal representatives or assigns.

We hereby appoint Kathleen M. Ryan, Patent Office Reg. No. 45,063, telephone (309) 675-5773, Joseph W. Keen, Patent Office Reg. No. 28,432, telephone (309) 675-5753, Robert J. Hampsch, Patent Office Reg. No. 36,155, telephone (309) 675-5214, Kevin M. Kercher, Patent Office Reg. No. 33,408, telephone (309) 675-4923, and R. Carl Wilbur, Patent Office Reg. No. 36,056, telephone (309) 675-5847 our attorneys and/or agents, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected with this application. Please address all correspondence to Kathleen M. Ryan, Caterpillar Inc., Patent Department, AB6490, 100 N.E. Adams Street, Peoria, Illinois 61629-6490.

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

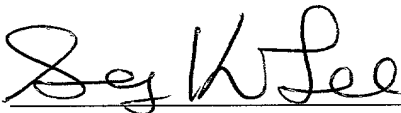


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